

Data protection information for shareholders of Evonik Industries AG

Evonik Industries AG is required to keep a share register because the Company's share capital is divided into no-par value registered shares. Pursuant to Section 67, German Stock Corporation Act (Aktiengesetz, AktG), entries in the share register must specify the name, date of birth and address of the shareholder along with the number of shares held. Accordingly, the shareholder is obligated to provide the above information to the company for entry in the share register.

Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation, hereinafter GDPR), has introduced new provisions on data protection with effect on May 25, 2018. The primary regulatory objective of the GDPR is to ensure transparent information, communication and the determination of modalities for the exercise of the rights of data subjects. To this end, Articles 13 et seq., GDPR lists information duties for controllers who are responsible for data processing.

1. Who is responsible for data processing and who is your contact?

The responsibility for data processing lies with **Evonik Industries AG, Rellinghauser Straße 1 – 11, 45128 Essen, Germany.**

The mailing address of the **Corporate Data Protection Officer** of Evonik Industries AG is **Evonik Industries AG, Corporate Data Protection, Rellinghauser Straße 1 – 11, 45128 Essen, Germany.**

2. Where do we get your data from and which data is affected?

The technical processing associated with buying and selling shares of Evonik Industries AG, including the transmission of data to us, is handled by Clearstream Banking AG, Frankfurt/Main, as the central securities depository for credit institutions (custodian banks). We thus receive the data categories mentioned in the introduction.

3. What is the purpose of processing your data and on what legal basis do we do this?

The personal data of the shareholders of Evonik Industries AG are used for the purposes specified in the AktG. This includes keeping the share register, communicating with shareholders and specifically, preparing and conducting the annual shareholders' meeting. In the above-mentioned circumstances, the data of our shareholders are also used for overviews, such as statistics on shareholder development, transactions, or presentation the largest shareholders.

Article 6, para. 1, sentence 1 (c), para. 4 GDPR, in conjunction with AktG, forms the legal basis for data processing.

This does not affect the processing of personal data due to other legal obligations (such as retention duties based on tax, trade or stock corporation law or other special regulatory provisions). For example, Section 134 para. 3, sentence 5 AktG determines that the authorization of the proxies nominated by the company must be archived in a traceable format for 3 years.

Based on Article 6, para. 1, sentence 1 (f) GDPR, we also process the data of our shareholders to safeguard our Company's legitimate interests, such as restrictions in shareholder information about preemptive rights offerings for a capital increase in order to comply with securities laws of certain non-European countries.

In compliance with statutory provisions, we will notify our shareholders in advance if the personal data of our shareholders are to be processed for a different purpose.

4. Who receives your data?

As mentioned above, Evonik Industries AG uses external service providers for the administration and technical management of its share register (ADEUS Aktienregister-Servive-GmbH, IT service provider) and for handling its annual shareholders' meetings (shareholder meeting service provider, service provider for printing and mailing of shareholder communications).

Personal data of shareholders who attend the annual shareholders' meeting may be viewed by all shareholders pursuant to Section 129 para. 4 AktG in the participant directory kept by the Company.

Other recipients of personal shareholder data may include, in particular, government agencies for compliance with statutory reporting requirements.

Presently personal data of our shareholders are not transmitted to recipients outside of the European Economic Area (EEA). Should that change personal data of our shareholders will only be transmitted to service providers outside of the EEA if the EU Commission has confirmed an adequate data protection level for the third country or if other appropriate data protection guarantees such as binding corporate data protection policies or an agreement to the standard contractual clauses of the EU Commission are in place.

5. How long will your data be stored?

Upon expiration of statutory retention and verification duties, the personal data of shareholders is either rendered anonymous or deleted. Data associated with shareholder meetings is generally archived for a period of up to 3 years, while data recorded in the share register must generally be kept for another 10 years after the sale of shares.

6. What are your data protection rights?

Shareholders of Evonik Industries AG have the right of access to the personal data concerning them, the right to rectification or erasure or restriction of processing, the right to object to processing as well as the right to data portability.

Right to object:

You may object to data processing we perform to protect our legitimate interests at any time by submission to the above address if the reasons to object to this data processing arise from your particular situation. In such a case, we will cease this processing unless it serves compelling legitimate grounds on our part that override your interests, rights and freedoms.

You have the option to contact the above-mentioned Data Protection Officer or, if asserting a right of appeal, to contact a data protection supervisory authority. The competent data protection supervisory authority for Evonik Industries AG is: Landesbeauftragte für Datenschutz und Informationsfreiheit (*State Representative for Data Protection and Freedom of Information*), North-Rhine Westphalia, Postfach (P.O. Box) 20 04 44, 40102 Düsseldorf, Germany.

Evonik Industries AG